

A

Please type a plus sign (+) inside this box  +

Under the Paperwork Reduction Act of 1995, no persons are required to re-

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Attorney Docket No. 2204/A94

<i>First Inventor</i>	Indermohan S. Monga
-----------------------	---------------------

<i>Title</i>	See 1 in Addendum
--------------	-------------------

Express Mail Label No. EL 915237714 US

002 U.S. PRO  
09/931645

08/15/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 52]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 29]
5. Oath or Declaration [Total Pages ]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))  
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)  
11. ☐ English Translation Document  
12. ☒ Information Disclosure Statement *None* of IDS Citations  
13. ☐ Prior Art Search Report *None*  
14. ☐ Postcard (MPEP 503) specifically itemized)  
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16. ☒ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  
17. ☒ Other: Unexecuted Declaration and Power of Attorney

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information      Examiner \_\_\_\_\_      Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ *Customer Number or Bar Code Label* 28901 or ☐ *Correspondence address below*  
*(Insert Customer No. or Attach bar code label here)*

Name

*Address*

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Jeffrey T. Klayman

Registration No. (Attorney/Agent)

39.250

*Signature*

Date August 15, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

## Addendum

1. System, Device, and Method for Managing Connection Establishment and Related Services in an Optical Communication System

09931645-031604  
T05T80" 549TE660

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Indermohan S. Monga

Title

Attachment

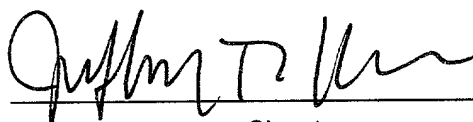
Atty Docket Number

2204/A94

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 15, 2001

Date



Signature

Jeffrey T. Klayman

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

T O C T B " 6 4 9 6 6 0

Practitioner's Docket No. 2204/A94

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Monga, Indermohan S.; Suryaputra, Stephen ; Ramachandran, Vikram; and Schofield,  
Bruce A.

Application No.: Not assigned

Group No.: Not assigned

Filed: Herewith

Examiner: Not assigned

For: System, Device, and Method for Managing Connection Establishment and Related Services in an  
Optical Communication System

Commissioner for Patents  
Washington, D.C. 20231  
Box Patent Application

## EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL 915237714 US

Date of Deposit 08/15/2001

NO FEE ENCLOSE

I hereby state that the following *attached* paper or fee

Utility Patent Application and related application papers

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service  
under 37 C.F.R. section 1.10, on the date indicated above and is addressed to the Commissioner for Patents,  
Washington, D.C. 20231.

Jeffrey T. Klayman

  
Signature of person mailing paper or fee